



Application for ICT Licence(s)

(Type A, B, or C Networks, and/or Type 1 to 5 Services)

Instructions for completion

- Print clearly – illegible, unclear or incomplete application forms may delay processing.
- Licence Types are defined in a Section 23 Notice published from time to time in the Gazette by the ICT Authority. A copy of the current notice is attached to this application form.
- You should use this form (ICTA Form 1) if you require a licence for Type A, B, or C Networks and/or Type 1 to 5 Services.
- You should use ICTA Form 2 if you require only a Type D to Type F network licence.
- You may use this form to apply for Type D, E or S network licences ONLY if you also are applying for a Type A, B, or C Network licence or a Type 1 to 5 Service Licence.
- Queries concerning the completion of this form should be directed to the Authority at 1-(345)-946-ICTA, fax 1-(345)-945-8284, or email info@icta.ky.

Disclosure of Personal Details

Information provided by the applicant in a field of this form that is marked with an asterisk (*) will be disclosed to the public by the ICT Authority in a Register of ICT Licences in accordance with section 34 of the *Information and Communications Technology Authority Law, 2002*.

The ICT Authority may disclose the contents of the Register by making it available for inspection at the ICT Authority Office, over the Internet and through the sale of a CD-ROM.

Applicant's Details

<p>1. Client number* (IF KNOWN)</p> <input type="text"/>	<p>7. Postal address*</p> <input type="text"/> <input type="text"/> <input type="text"/>					
<p>2. Organisation name*</p> <input type="text"/>	<p>8. Physical address*</p> <input type="text"/> <input type="text"/> <input type="text"/>					
<p>3. Trading Name* (IF APPLICABLE)</p> <input type="text"/>	<p>9. Contact Name*</p> <table border="1" style="width: 100%;"> <tr><td>SURNAME</td></tr> <tr><td>GIVEN NAMES</td></tr> <tr><td>DESIGNATION</td></tr> </table>	SURNAME	GIVEN NAMES	DESIGNATION		
SURNAME						
GIVEN NAMES						
DESIGNATION						
<p>4. C.I. Company Registration Number</p> <input type="text"/>	<p>10. Telephone</p> <table border="1" style="width: 100%;"> <tr><td>WORK</td></tr> <tr><td>HOME</td></tr> <tr><td>MOBILE</td></tr> <tr><td>FAX</td></tr> <tr><td>EMAIL</td></tr> </table>	WORK	HOME	MOBILE	FAX	EMAIL
WORK						
HOME						
MOBILE						
FAX						
EMAIL						
<p>5. Does Company have a current Trade & Business Licence? (IF YES, PLEASE ATTACH COPY)</p> <p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>						
<p>6a. General Inquiry Email address* (IF ANY)</p> <input type="text"/>						
<p>6b. Web Address* (IF ANY)</p> <input type="text"/>						

11. Type(s) of Network(s) and/or Service(s)*

At least one of the following types of ICT Networks or ICT Services MUST be selected

- | | |
|---|--|
| <p>Networks:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Type A – Fixed wireline <input type="checkbox"/> Type B – Fixed wireless <input type="checkbox"/> Type C1 – Mobile (cellular) 2G <input type="checkbox"/> Type C2 – Mobile (cellular) 2.5G <input type="checkbox"/> Type C3 – Mobile (cellular) 3G | <p>Services:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Type 1 – Fixed Telephony <input type="checkbox"/> Type 2* – Fall-back International Voice & Data Comms. <input type="checkbox"/> Type 3 – Mobile Telephony <input type="checkbox"/> Type 4 – Resale of Telephony <input type="checkbox"/> Type 5 – Internet Telephony |
|---|--|

* Available only to a Type E2 Licensee or applicant.

12. Additional Licence Types*

The following licence types may be included in this application PROVIDED at least one of the above has been selected.

Networks:

- Type D1 – Fibre Optic Cable - Domestic
- Type D2 – Fibre Optic Cable - International
- Type E1 – Satellite (incl VSAT) - Domestic
- Type E2 – Satellite (incl VSAT) - International
- Type S – Spectrum

Check this box if you plan to make use of any spectrum, and provide full details in answer to question 28 below. There is an annual fixed fee for each transmission frequency or channel to be used. See the description in the Section 23(2) Notice. There is no application fee.

Services:

- Type 9 – Internet Service Provider (ISP)
- Type 11 – Provision of ICT Infrastructure to 3rd Parties
- Type 12** – Retail sale of ICT equipment
- Type 13 – Publication of directories
- Type 14 – Applications Service Provider (ASP)

** Available only to Type 1 or Type 3 licensees. All others must obtain a licence under the Trade and Business Licensing Law (2002 Revision).

**Cheque for Application Fee Enclosed
(See separate Schedule of ICT Application Fees)**

<p>CIS</p>

Company Directors and Management

Where appropriate, the following information should be provided as an attachment to this application:

13. Attach details of all directors and officers of the Company.
- a. Full name
 - b. Appointment
 - c. Date appointed
 - d. Private Address
 - e. Age
 - f. Nationality
 - g. Occupation
 - h. Other directorships held during the past 5 years
 - i. Other business interests
 - j. Whether or not resident in the Cayman Islands
 - k. Summary of background, and any experience relevant to running the networks and services applied for
14. Have any directors or officers of the Company ever declared personal bankruptcy or been a director or officer of a company which has gone into liquidation, official receivership, administration, or become insolvent (either while he was a director or officer or within 3 years of his ceasing to be a director or officer)?
- No
 - Yes. Please attach details.
15. Have any directors of the Company been a director of a company whose ICT licence (or equivalent) has been revoked by any country's regulatory authority for breach of licence condition(s) (either while he was a director or within 3 years of his ceasing to be a director)?
- No
 - Yes. Please attach details.
16. Attach names and addresses of all shareholders of the Company (unless a publicly traded company). Include nationality and place of residence.
- List of shareholders attached.
 - We are a publicly traded company. Our company registrars are:
- | |
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| |
17. Has any director of the Company or any member of the Company's managerial staff ever been, or are they now, the defendant or respondent in any proceedings in any court in any jurisdiction involving non payment of debt, dishonesty, fraud, theft, restitution or violence?
- No
 - Yes. Please attach details.
18. Provide a diagrammatic representation of the applicant's major shareholders, including the percentage of shares held. The Authority may request further information from the applicant concerning shareholding and corporate ownership structure.

Company Directors and Management - continued

19. Provide a diagrammatic representation and narrative description of the Company's management structure. Include brief resumes of key managerial staff indicating relevant prior experience, qualifications, and nationality. Detail any other sources of expertise available to the Company.
20. List any activities which will be contracted out to agencies, consultants, etc., including numbers of personnel upon whom the applicant will be able to draw, if known.
21. Attach names, addresses and contact details for the following professional advisors to the Company.
- | | |
|---------------|-------------|
| a. Attorney | c. Auditors |
| b. Accountant | d. Banker |

Company Background

22. Does the Company or any affiliate currently hold, or has it ever held, a telecommunications, broadcasting or ICT licence in the Cayman Islands or another country?
- No
- Yes. Please attach details.
23. Has the Company or any affiliate ever had an application for a telecommunications, broadcasting or ICT licence in the Cayman Islands or another country refused?
- No
- Yes. Please attach details.
24. Has the Company or any affiliate ever had a telecommunications, broadcasting or ICT licence in the Cayman Islands or another country revoked?
- No
- Yes. Please attach details.

Networks & Services to be Supplied

25. General description of the network or service, including:
- | |
|---|
| a. Licence Type |
| b. Narrative description |
| c. Geographical coverage |
| d. Number and categories of customers anticipated to be served |
| e. Arrangements for interconnection and infrastructure sharing, where appropriate |
| f. Anticipated launch date – Grand Cayman |
| g. Anticipated launch date – Cayman Brac |
| h. Anticipated launch date – Little Cayman |
| i. Anticipated developments over the next 3 years |
| j. If wholesale services will be offered to other licensees |
26. Explain fully the technical and operational configuration, including:
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|--|
| a. Description of the equipment to be used |
| b. Technical specification |
| c. Conformance with standards |
| d. Source and availability |
| e. Network security and resilience |
| f. Environmental impact |
27. Provide a clear diagram showing:
- | |
|--|
| a. The conveyance of messages from the beginning (i.e. ways of accessing the applicant's system) to the end (i.e. termination of the message). |
| b. Directional arrows |
| c. Labels showing which company owns and operates each part of the network. (Though the applicant's system might represent a small part of the overall network, it is important for the Authority to know where the applicant's system fits into the chain of conveyance of a message and what the applicant's system does with that message.) |
28. For each element of the network that involves radio transmission (if any), please provide an engineer's technical report that includes the following information (include network links, local loop and cellular as appropriate):
- | |
|--|
| a. Technical narrative |
| b. Equipment tabulation and technical specifications |
| c. Transmitter site map |
| d. Antenna element sketch |
| e. Frequency allocation study |
| f. Frequency coverage map |
| g. Vertical plane elevation pattern |
| h. Frequencies & channels or band(s) required |
| i. Antenna Mode |

- j. Effective radiated power (average & horizontal)
- k. Effective antenna height
- l. Transmitting site coordinates

Networks & Services to be Supplied – continued

29. Do you wish to apply for an allocation of numbers? If so, please check the appropriate box(es):

- Carrier access codes
- Carrier selection codes
- End user numbers
- Other numbers

Provide details of your requirements.

Business and Marketing

30. Attach a detailed business plan covering a period of at least 5 years that includes:

- a. Major assumptions used
- b. Sources of funding, debt levels, equity and independent confirmation as appropriate
- c. Proforma financial statements for 5 years, to include cash flow and income statements
- d. An indication of when the applicant anticipates becoming profitable

NB. The applicant may be required to file a more precise business plan following completion of its interconnection and infrastructure arrangements.

31. Attach a marketing strategy that includes for each of the networks or services to be provided:

- a. Main target markets (e.g. wholesale or retail, residential or business)
- b. Sales plan

32. Provide details of your proposed rates.

33. Provide copies of your terms and conditions for the provision of the proposed networks and services.

34. Provide copies of representative samples of existing contracts with all classes of customer (if any).

35. How many staff do you propose to employ OUTSIDE the Cayman Islands?

Initially: After 1 year: After 3 years

36. How many staff do you propose to employ WITHIN the Cayman Islands?

- a. Initially
 - Caymanian
 - Expatriate
- b. After 1 year
 - Caymanian
 - Expatriate
- c. After 3 years
 - Caymanian
 - Expatriate

37. Explain fully how the following services will be provided:

- a. Customer service and complaint resolution
- b. Customer billing
- c. Directory services to the public, and how directory information on the applicant's own customers will be maintained and made available to others legitimately seeking it
- d. Access to 911 emergency services

38. Provide details of any quality of service targets supported by the applicant.

Filing

An original signed application and eight paper copies must be filed with the Authority. All paper copies must be held together with rubber bands or fasteners. The applicant is to provide an electronic copy of its application on a 3.5" floppy diskette or CD, clearly labelled with the applicant's name, date of filing, and the name of the electronic file on the diskette or CD. The application fees must accompany the application in order for the Authority to consider the application.

Certification

1. The Applicant by submitting this form and any attachments thereto represents, warrants and undertakes to and with the ICTA that all information, facts and matters (together the 'Information') contained or referred to in the form and any attachments thereto are true and accurate as at the date of the application and correct in all respects and that nothing has been omitted which renders any of such Information incomplete, false or misleading.
2. So far as such Information relates in whole or in part to past or present matters of fact upon submission of the form, they shall also be deemed to constitute fundamental representations upon the faith of which the ICTA may grant the Licence or Licences applied for.
3. Promptly upon the occurrence of or promptly upon the Applicant becoming aware of the impending or threatened occurrence of any event which would or might reasonably be expected to cause or constitute a breach of the representations, warranties and undertakings in sub-clause 1 above (or would have caused or constituted a breach of the representations, warranties and undertakings in sub-clause 1 had such event occurred or been known to the Applicant prior to the date of application), the Applicant shall give written notice of the same to the ICTA and shall use its best endeavours promptly to prevent or remedy the same.
4. Where the Information consists of any audited accounts of the Applicant, the Applicant by submitting this form and any attachments thereto represents, warrants and undertakes to and with the ICTA that those accounts are accurate in all material respects and show a true, complete and fair view of the state of affairs, financial position, assets and liabilities of the Applicant and of its results for the financial period therein stated.
5. The Applicant further certifies that, to the best of its knowledge, any matters which might influence the ICT Authority's judgement as to whether the Applicant, its directors and substantial shareholders are fit and proper persons to hold an ICT licence have been made known to the ICT Authority.

Signed on behalf of the Applicant:

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Full name(s) of Signatory(ies):.....

Position:

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.....

Date: